



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3621

In re Application of: Manoel (nmi) Tenorio
Serial No. 09/976,750
Filing Date: October 12, 2001
Art Group Unit: 2161
Title: DISPLAYING STRIKES BETWEEN BIDS AND ASKS
IN A MARKET OVER TIME USING POLYGONS

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Commissioner For Patents
P.O. Box 1450
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Dear Sir:

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I hereby certify that this communication is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Willie Jiles

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Date: April 26, 2004

Exp. Mail Receipt No. EV 324649235 US

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present invention, or that these references qualify as prior art.

This Information Disclosure Statement is submitted before an Office Action on the merits, and therefore, pursuant to 37 C.F.R. § 1.97(b), no fee is believed to be due. If, however, a fee is due, the Commissioner is authorized to charge such fee to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.
Attorneys for Applicant



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Date: April 26, 2004

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Customer Number

05073

**Information Disclosure Citation
in an Application**

Application No.

Applicant(s)

09/976,750

Manoel (nmi) Tenorio

Docket Number

Group Art Unit

Filing Date

020431.0919

2161

October 12, 2001

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,689,652	11/18/1997	Lupien et al.	395	237	04/27/1995
	B	5,845,266	12/01/1998	Lupien et al.	705	37	12/12/1995
	C	6,098,051	08/01/2000	Lupien et al.	705	37	04/26/1996
	D						
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)					DATE
	O	Lian-Sheng Tsai, Director, First Office Action for Patent Application No. 91123498, Mailed, Date of Receipt: March 31, 2004, entitled "Displaying Strikes Between Bids and Asks in a Market over time Using Polygons," 1 page.					Received 03/31/2004
	P						
	Q						
	R						

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. Patent and Trademark Office